CERTIFICATE OF EXPRESS MAILING

Express Mail Mailing Label No. EV 226054749 US Date of Deposit: May 7, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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may 7, 2003

By: Barbara Bryant

Patent

Attorney's Docket No. P-088-R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)		RECEIVED
M. R. Leadbetter et al.)	Group Art Unit: 1654	MAY 1 2 2003 OFFICE OF PETITIONS
Application No.: 09/847,042)	Examiner: Jeffrey E. Russel	OF THE UP PETITIONS
Filed: May 1, 2001)	Confirmation No. 5218	
For: GLYCOPEPTIDE PHOSPHONATE DERIVATIVES)		

REQUEST FOR RECONSIDERATION OF PATENT TERM ADJUSTMENT INDICATED IN NOTICE OF ALLOWANCE (37 C.F.R. § 1.705)

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully request reconsideration of the patent term adjustment indicated on the Notice of Allowance mailed on April 24, 2003, for the above-identified patent application. This paper is being filed before payment of the issue fee.

1. Statement of Facts

Pursuant to 37 C.F.R. §1.705(b)(2), Applicants respectfully submit the following statement of the facts involved:

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A. <u>37 C.F.R. § 1.705(b)(2)(i) and (ii)</u>

The patent term adjustment shown on the Notice of Allowance is 51 days. Applicants respectfully submit that the correct patent term adjustment under §1.702 is 105 days.

The basis on which Applicants seek adjustment is as follows:

(a) Period of Adjustment Pursuant to 37 C.F.R. §1.703

Pursuant to 37 C.F.R. § 1.702(a)(1), the USPTO failed to mail at least one of a notification under 35 U.S.C. §132 or a notice of allowance under 35 U.S.C. §151 not later than fourteen months after the date on which the application was filed under 35 U.S.C. §111(a). Specifically, the date of filing for this application is May 1, 2001; and the USPTO issued a first Office Action on October 16, 2002, resulting in 107 days of USPTO delay.

- (b) Reduction of Period of Adjustment Pursuant to 37 C.F.R. §1.704

 The period of adjustment (i.e., 107 days) has been reduced for the following two reasons:
- (1) Applicants responded to a Notice to File Missing Parts more than three months after mailing of the Notice, i.e., the Notice was mailed on July 2, 2001, and Applicants response was received by the USPTO on August 4, 2001, resulting in 2 days of delay. Applicants are not contesting this period of delay.
- (2) On October 16, 2002, an Office Action was mailed by the USPTO. Applicants filed a Reply and Amendment in response to the Office Action by facsimile on January 15, 2003 (i.e., within the three-month period for response). However, the filed response was never entered by the USPTO. On February 11, 2003, and again on March 6, 2003, duplicate copies of the Reply and Amendment were submitted by facsimile to the USPTO. On March 11, 2003, the response was entered and the USPTO attributed 54 days of delay to Applicants.

Applicants respectfully submit that, since a response to the Office Action was submitted to and received by the USPTO within the three-month period set forth in 37 C.F.R. §1.704 (as evidenced by Applicants' facsimile receipt), the reduction of the period of adjustment by 54 days is inappropriate.

Accordingly, this patent application is entitled to a period of adjustment of 105 days (i.e., 107 days less 2 days). Copies of the Office Action (first page showing date mailed); Reply and

U.S. Serial No. 09/847,042 Attorney Docket No. P-088-R Page 3 of 3

Amendment and related transmittal papers (including facsimile cover pages and receipts), and the Determination of Patent Term Adjustment from the Notice of Allowance are attached hereto.

B. 37 C.F.R. §1.705(b)(2)(iii)

This patent application (and the patent issuing from this application) is not subject to a terminal disclaimer.

C. 37 C.F.R. §1.705(b)(2)(iv)

Applicants are not aware of any circumstances during the prosecution of this application that constitute a failure to engage in reasonable efforts to conclude processing or examination of this application as set forth in 37 C.F.R. §1.704, except for the two days of delay discussed above relating to the response to the Notice to File Missing Parts.

D. Summary

Applicants respectfully request that the erroneous attribution of 54 days of delay to Applicants be corrected; and that the patent term adjustment be revised to show that a patent resulting from this application is entitled to 105 days of adjustment.

2. Fee Pursuant to 37 C.F.R. §1.18(e)

Pursuant to 37 C.F.R. §1.705(b)(1), a Fee Transmittal is enclosed authorizing the Commissioner to charge the Petition fee of \$200.00 set forth in 37 C.F.R. § 1.18(e) to deposit account 50-0344. The Commissioner is also authorized to charge any additional fees or credit any overpayment to this deposit account. The Fee Transmittal is submitted in duplicate.

Should there be any questions concerning this paper, please contact the undersigned attorney at (650) 808-6406.

901 Gateway Boulevard

South San Francisco, CA 94080

Tel: (650) 808-6000 Fax: (650) 808-6078

Respectfully submitted,



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/847,042 05/01/2001 Michael R. Leadbetter P-088-R 5218

27038 7590

10/16/2002

THERAVANCE, INC.
901 GATEWAY BOULEVARD
SOUTH SAN FRANCISCO, CA 94080

EXAMINER

RUSSEL, JEFFREY E

ART UNIT

DATE MAILED: 10/16/2002

9

PAPER NUMBER

Please find below and/or attached an Office communication concerning this application or proceeding.

CO2

Patent Department OCT 2 1 2002

RECEIVED MAY 1 2 2003

OFFICE OF PETITIONS

Response Due 1/16/03

CERTIFICATE OF TRANSMISSION

hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office, Fax No. (703) 305-3014, on the date shown below:

Dated: 1-15-03

By: A. Hagenah, Reg. No. 35,175

Patent Attorney's Docket No. <u>P-088-R</u>

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

)		RECEIVED
)	Cross Art Unit. 1654	MAY 1 2 2003
)	Group Art Unit: 1034	OFFICE OF PETITIONS
))	Examiner: Jeffrey E. Russel	
)		
)		
)))))))))) Group Art Unit: 1654) Examiner: Jeffrey E. Russel)))

REPLY AND AMENDMENT PURSUANT TO 37 C.F.R. §1.111

Commissioner for Patents Washington, D.C. 20231

Sir:

This Reply and Amendment is being filed in response to the Office Action mailed on October 16, 2002, for the above-identified patent application. In response to this Office Action, entry of the following amendments is respectfully requested:



PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box -> +

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

		Application Number		09/847,042		
TRANSMITTAL	•	Filing	Date	May 1, 2001		
FORM		First N	lamed Inventor	M.R. Leadbetter et al.		
(to be used for all correspondence after in	nitial filing)	Group	Art Unit	1654		
			ner Name	J. E. Russel		
Total Number of Pages in This Submission	29	Attorn	ey Docket Number	P-088-R		
	ENCL	SURES	(check all that apply)			
⊠ Fee Transmittal Form		ment Pap Application		After Allowance Communication to Group		
Fee Attached	☐ Drawin	g(s)		Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licensi	ng-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Final	Petition	1		Proprietary Information		
Affidavits/declaration(s)		to Conv onal App		Status Letter		
Extension of Time Request			ey, Revocation espondence Address	Other Enclosure(s) (please identify below):		
Express Abandonment Request		al Disclai st for Ref				
☐ Information Disclosure Statement	CD, Nu	mber of	CD(s)			
Certified Copy of Priority Document(s)	Rema	rks Attached are the following:				
Response to Missing Parts/ Incomplete Application	Fee Trans	Amendment (25 pages) mittal (1 page in duplicate)				
Response to Missing Parts under 37 CFR	Facsimile	al Page (1 page - this page) Cover Page (1 page)				
1.52 or 1.53	Total Page	· ·				
SIGNA Firm	TURE OF A	APPLICA	ANT, ATTORNEY, OF	RAGENT		
or Jeffrey A. Hagenal	n, Reg. No. 3	5,175				
Signature	A.	Az	y Q			
Date January 15, 2003						
	CERTIF	ICATE	OF TRANSMISSION			
I hereby certify that this correspondence 305-3014, on this date: January 15, 20		simile tra	nsmitted to the U.S. Pal	ent and Trademark Office, Fax. No. (703)		
Typed or printed name Jeffrey A. Hag	genah, Reg. l	No. 35,17	75			
Signature	~ A.	Ha	2	Date January 15, 2003		

Burden Hour Statement: This form is stiffated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

May

2003

Date

ter the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL

Typed or printed name

Signature

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(to be used for all correspondence after initial filing)

Application Number 09/847,042

Filing Date May 1, 2001

First Named Inventor M. R. Leadbetter et al.

Group Art Unit 1654

Examiner Name Jeffrey E. Russel

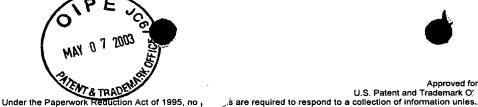
Total Number of Page:	s in This Submission	42 Attorn	ey Docket Number	P-088-R					
ENCLOSURES (check all that apply)									
Fee Transmittal F	form	Assignment Par		After Allowance Communication to Group					
Fee Attached		☐ Drawing(s)		Appeal Communication to Board of Appeals and Interferences					
Amendment / Res	sponse	Licensing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final		⊠ Petition*		☐ Proprietary Information					
Affidavits/dec	laration(s)	Petition to Conv Provisional App		Status Letter					
Extension of Time	e Request	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):						
Express Abandon	ment Request	☐ Terminal Disclai	Postcard MAY 1 2 200.						
☐ Information Disclo	sure Statement	OFFICE OF PETITIO							
Certified Copy of Document(s)	Priority								
Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 *Included in this application (petition) for patent term adjustment are the following: Petition (3 pages); copy of first page of Office Action (1 page); copy of Reply and Amendment (30 pages); copy of Feb. 11, 2003 Facsimile Cover (2 pages); copy of March 6, 2003 Facsimile Cover (2 pages); copy of Determination of Patent Term Adjustment (1 pages); Fee Transmittal (1 page in duplicate); and this Transmittal Sheet (1 page). Total Pages = 42.									
	SIGNA	TURE OF APPLICA	ANT, ATTORNEY, O	RAGENT					
Firm <i>or</i> Individual name	Firm or Jeffrey A. Hagenah, Reg. No. 35,175								
Signature	Sellr=	A afo	ye-						
Date	May 7, 2003	ر	<u> </u>						
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Burden Hour Statement: This form's estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Washington, D.C. 20231 on: May

Barbara Bryant





PTO/SB/17 (01-03)
Approved for the through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark O/ S. DEPARTMENT OF COMMERCE plays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	4:

Complete if Known						
Application Number	09/847,042					
Filing Date	May 1, 2001					
First Named Inventor	M. R. Leadbetter et al.					
Examiner Name	J. E. Russel					
Group / Art Unit	1654					
Attorney Docket No.	P-088-R					

ME	THOD OF PAYMENT (check all that apply)				FEE C	ALCULATION (continued)	
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Order Deposit Account:							
Si		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account	50-0344	1051	130	2051	65	Surcharge - late filing fee or oath	
Number		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
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Account	Theravance, Inc.	1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Commission	er is authorized to: (check all that apply)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
□ Charge fee(s)	ndicated below S Credit any overpayments ditional fee(s) during the pendency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s)	ndicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-ident	ified deposit account. FEE CALCULATION	1252	410	2252	205	Extension for reply within second month	
1. BASIC FIL		1253	930	2253	465	Extension for reply within third month	
Large Entity	Small Entity	1254	1,450	2254	725	Extension for reply within fourth month	
	ee Fee <u>Fee Description</u> Code (\$)	1255	1,970	2255	985	Extension for reply within fifth month	
1.7	code (\$) Fee Paid	1401	320	2401	160	Notice of Appeal	
	2002 165 Design filling fee	1402	320	2402	160	Filing a brief in support of an appeal	
	2003 260 Plant filing fee	1403	280	2403	140	Request for oral hearing	
1004 750 2	2004 375 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable	
•	SUBTOTAL (1) (\$) 0	1453	1,300	2453	650	Petition to revive – unintentional	
	(1)	1501	1,300	2501	650	Utility issue fee (or reissue)	
2. EXTRA CLAIN		1502	470	2502	235	Design issue fee	
	Extra Fee from Fee	1503	630	2503	315	Plant issue fee	
Total Claims 52		1460	130	1460	130	Petitions to the Commissioner	
Independent		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Ctaims 4	-3 ** = 1 X 42 = 42	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent Large Entity	X = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee	Fee Fee	1809	750	2809	375	Filing a submission after final rejection	, .
Code (\$)	Code (\$) Fee Description	1			•.•	(37 CFR § 1.129(a))	
1202 18	2202 9 Claims in excess of 20	1810	750	2810	375	For each additional invention to be	
1201 84	2201 42 Independent claims in excess of 3		i			examined (37 CFR § 1.129(b))	
1203 280	2203 140 Multiple dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)	1 1
1204 84	2204 42 ** Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application	
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SUBMITTED BY				Con	nplete (if applicable)
Name (Print/Type)	Jeffrey A. Hagenah	Registration No. Attorney/Agent)	35,175	Telephone	(650) 808-6406
Signature	Sella F	J. Hag	2	Date	January 15, 2003

WARNAGE: Integration on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231. If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/17 (01-03) roved for use through 04/30/2003. OMB 0651-0032 mark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and er the Paperwork Reduction A 1995, no persons are required to respond to a collection of infor ion unless it displays a valid OMB control number.

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Examiner Name	Jeffrey E. Russel						
Group / Art Unit	1654	MAY 1 2 2003					
Attorney Docket No.	P-088-R	OFFICE OF DETITION					

	METHOD OF PAYME	NT (check all	that apply)	_				FEE C	ALCULATION (continued)	
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Deposit				İ	1053	130	1053	130	Non-English specification	
Account	Theravance, Inc.				1812	2,520	1812	2,520	For filing a request for reexamination	
Name The Commissi	oner is authorized t	to: (check all	that apply)	I	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
□ Charge fee(s)	s) indicated below	☑ Credit any		n	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
☐ Charge fee(s	s) indicated below, e	except for the		•	1251	110	2251	55	Extension for reply within first month	
to the above-ide	entified deposit accor	ount. LCULATION			1252	410	2252	205	Extension for reply within second month	
1. BASIC F	FILING FEE				1253	930	2253	465	Extension for reply within third month	
Large Entity	Small Entity	- Jana			1254	1,450	2254	725	Extension for reply within fourth month	
Fee Fee Code (\$)	Fee Fee <u>Fe</u> Code (\$)	ee Description	1 Fee Pald		1255	1,970	2255	985	Extension for reply within fifth month	
1001 750	, , ,	Itility filing fee		7 !	1401	320	2401	160	Notice of Appeal	
1002 330		esign filing fee		-	1402	320	2402	160	Filing a brief in support of an appeal	
1003 520		lant filing fee		7	1403	280	2403	140	Request for oral hearing	
1004 750	2004 375 Re	teissue filing fee		 	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160	2005 80 Pr	rovisional filling	, fee	۱ لـ	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTOTAL ((1)	(\$) 0	7	1453	1,300	2453	650	Petition to revive – unintentional	
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			ee from Fee elow Paid	ļ	1503	630	2503	315	Plant issue fee	
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Independent	— [一 ,「	<u> </u>	「 「	1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	, <u> </u>
Claims	-3 ** = 0	<u>°</u> × [= 0	╡	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent Large Entity	ı Small Entity	×	= 0]	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee					1809	750	2809	375	Filing a submission after final rejection	,
Code (\$)	Code (\$)	Fee Descrip	<u>otlon</u>		1,555			0, 0	(37 CFR § 1.129(a))	
1202 18	2202 9	Claims in ex	cess of 20		1810	750	2810	375	For each additional invention to be	
1201 84	2201 42	•	t claims in excess of 3		l				examined (37 CFR § 1.129(b))	
1203 280	2203 140		endent claim, if not pai		1801	750	2801	375	Request for Continued Examination (RCE)	.
1204 84	2204 42	original pate			1802	900	1802	900	Request for expedited examination of a design application	
1205 18	2205 9	** Reissue c over original	claims in excess of 20 a I patent	and		4	- · · ·		•	
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SUBMITTED BY				Con	nplete (if applicable)
Name (Print/Type)	Jeffrey A. Hagenah	Registration No. Attorney/Agent)	35,175	Telephone	(650) (808)-6406
Signature	Della Mark	A May C	>	Date	May, 2003
•	' A V V \		_	,	

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